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Substitute for Form 1-449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/535,298
Filing Date	05/17/2005
First Named Inventor	M.J.W. Mertens, et.
Art Unit	2644
Examiner Name	
Attorney Docket Number	NL 021458

## U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Ping Lee/

Date Considered

09/28/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/088 (08-03)

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/535298
		Filing Date	
		First Named Inventor	MERTENS et al.
		Art Unit	
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	NL 021458

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
PL		"Creating Source Elevation Illusions by Spectral Manipulation" P. Jeffrey Bloom. pp. 560-565.	
PL		"Headphone simulation of free-field listening. I: Stimulus synthesis" F.L. Wightman et al. pp. 858-867.	
PL		"Using inter-positional transfer functions in 3D-sound" L.W.P. Biscainho et al. pp. 1961-1964.	

Examiner Signature	/Ping Lee/	Date Considered	09/28/2006
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